

**A + B Model in  
Quantum Geometry**

**Oct. 12, 2009**

**NTU Math**

**Dragon**

**GOAL: Toward a Quantum Minimal Model Program**

We propose a QMMP (jointly with Y.P. Lee and H.W. Lin) in higher dimensional algebraic geometry.

1. In the orbifold category.
2. Allowing symplectic deformations.
3. Keeping track on the quantum "A + B" model.

A QMMP using only A model (= Gromov-Witten theory, or quantum cohomology  $QH(X)$ ) was proposed by Yongbin Ruan in 1998.

**Difficulty:**  $QH(X)$  is **not functorial** under  $f: X \rightarrow X'$ .

## Classical MMP = Mori Program

In 1982, Mori proposed the MMP to classify algebraic varieties of  $\dim > 2$  by considering the cone of curves  $NE(X)$  under  $\cap$  with  $K_X$ :

- (1) Let  $X$  be a smooth complex variety. If  $K_X$  is not nef, then there is an extremal rational curve  $C$  in  $X$  with  $K_X \cdot C < 0$  and a morphism  $f: X \rightarrow X'$  which contracts all curves  $C'$  with  $[C'] \in R[C]$ .
- (2) If  $\dim X' < \dim X$  then we are done.  $X$  is a Mori fiber space over  $X'$  with Fano fibers (in principle can be classified).

Otherwise  $f$  is birational. Let  $Z \subset X$  be the exceptional loci.

(2-1) (Divisorial contraction.) If  $\dim Z = \dim X - 1$ , then  $X'$  has only terminal singularity and we may repeat (1) for the new  $X'$  (Kawamata, Kollár).

(2-2) (Small contraction.) If  $\dim Z < \dim X - 1$ , then  $X'$  is too singular to repeat the program. We need to perform **a flip**  $X \dashrightarrow X^+$ , which is a surgery from  $(Z, C)$  to  $(Z^+, C^+)$  to achieve  $K.C^+ > 0$  (sign reversed). Then we may repeat (1) for  $X^+$ .

The termination of flips in dim 3 was proven by Shokurov in 1984.

The existence of flips in dim 3 was proven by Mori in 1988.

Thus the MMP produces  $X'$  with nef  $K$ , or a Mori fiber space.

Recently, the existence of flips was proven by BCHM

(Birkar-Cascini-Hacon-McKernann 2007) in all dimensions. But the termination is still open in  $\dim > 4$ . (Dim 4 is OK.)

Problems about the minimal models  $X'$  of  $X$  when  $\dim > 2$ :

(1) They are singular.

(2) They are not unique.

For (1), in dim 3 the singularities are  $cDV/\mu_r$ , which are classified by Mori. They can be deformed into **cyclic quotients of  $C^3$** .

For (2), birational minimal models are connected by flops. A flop  $f: X \dashrightarrow X'$  is a surgery on  $C$  with  **$K_X \cdot C = 0 = K_{X'} \cdot C'$** .

This was proven by Kollár-Mori in 1992 in dim 3, and by Kawamata in all dimensions recently. But K-M's methods show that flops in dim 3 preserves the type of  $\text{Sing}(X)$ , moreover the vector spaces  $H(X)$  and  $IH(X)$  are independent of the choices of minimal models.

**DEFINITION:**  $X$  and  $X'$  are **K-EQUIVALENT** if there are birational morphisms  $g: Y \rightarrow X$ ,  $g': Y \rightarrow X'$  such that  $g^*K_X = g'^*K_{X'}$  on  $Y$ .

In 1997, I proved (in my thesis) that K equivalent projective manifolds  $X$  and  $X'$  have the same Betti and Hodge numbers. Also birational minimal models are always K equivalent. However,

- (1) The canonical (iso-) correspondence  $T: H(X) \rightarrow H(X')$  has **not yet** been found for a general K equivalence.
- (2) Even if  $T$  is found (e.g. for all known smooth flops),  $T$  **does not** preserve the topological ring structures (cup product).

K equivalence conjecture (W- 2000): Such  $T$  exists which induces  $\text{Def}(X) = \text{Def}(X')$  and  $\text{QH}(X) = \text{QH}(X')$  (quantum cohomology rings).

(1) B-model: In dim 3, invariance of the VHS(X) (variations of Hodge structures) over the complex structure moduli was proven by Kollár-Mori since 3D flops can be performed in flat families.

(2) A-model: In dim 3, invariance of QH(X) over the Kähler moduli space (up to analytic continuations) was first studied by Witten in 1992 and completed by Li-Ruan around 1998.



## Messages from "Nature": String Theory

2D Super-Symmetric Conformal Field Theory predicts the space-time is **10 dim**, with **6 extra dimensions** in tiny scale. Any geometric model  $(X, g)$  of it must satisfy the Vacuum Einstein equation  $\text{Ric}(g) = 0$ . The SUSY requires also that  $g$  is a Kähler metric. That is,  $X$  is Ricci flat Kähler-Einstein, nowadays called Calabi-Yau manifolds.

**THEOREM (YAU 1976):**  $X$  is Calabi-Yau iff  $K_X = -c_1(X) = 0$ . Indeed, in each Kähler class  $[\omega] \in H^{1,1}(X, \mathbb{R})$  there is a unique Ric flat  $g$ .

There are  $> 10^6$  such  $X$ 's with different topology which had been found!  
Each Calabi-Yau 3-fold (real dim = 6) associates "a string theory".  
However, there is "only one universe". So what's going wrong?

There are two Type II (heterotic) **twisted** string theories: They are topological quantum field theories (TQFT):

String moduli:  $g$  (metric)  $\Leftrightarrow (J, \omega)$  (complex, symplectic).

(1) II-A:  $J$  fixed,  $\omega$  varies  $\Rightarrow$  Hilbert space  $H_A(X) = \bigoplus H^p(X, \wedge^q T^*)$   
 $= H^*(X)$ , quantum correlation = Gromov-Witten theory.

(2) II-B:  $J$  varies,  $\omega$  fixed  $\Rightarrow$  Hilbert space  $H_B(X) = \bigoplus H^p(X, \wedge^q T)$ ,  
quantum correlation = Kodaira-Spencer theory.

**Master Conjecture: Any Calabi-Yau  $X$  leads to isomorphic TQFT.**

The notion of **symmetries** in the full Calabi-Yau moduli: All CY 3-folds should be connected to each other via

(1) **Crepant** (flopping) contractions/resolutions.

(2) **Finite Weil-Petersson distance** degeneration/smoothing of Calabi-Yau with at most canonical singularities.

These two generate (a) extremal transitions, (b) birational Calabi-Yau's and (c) mirror symmetry near  $\infty$  boundaries.

Idea of proof for A-model equivalence in 3D K-equiv:

(1) Decomposition of 3D K-equiv into flops. (OK by Kollár-Mori.)

$$X \cdots \rightarrow X_1 \cdots \rightarrow X_2 \cdots \cdots \rightarrow X'.$$

(2) Symplectic deformation of any smooth 3D flop into copies of Atiyah  $P^1$ -flop: In the K-equiv diagram,

$$Z = P^1, \quad N_{Z/X} = \mathcal{O}(-1)^2, \quad Y = \text{Bl}_Z(X).$$

(OK by Mori's classification and Freidman's study on ODP.)

For each  $P^1$ -flop,  $T = g'_*g^*: H(X) \rightarrow H(X')$  is canonical in the sense that  $T$  preserves the Poincaré pairing.

(3) Quantum corrections: Let  $a, b, c \in H^2(X)$ . Then

$$\Delta := (Ta.Tb.Tc)^X - (a.b.c)^{X'} = (a.Z)^X(b.Z)^X(c.Z)^X.$$

Witten and Aspinwall-Morrison found that each degree  $d$  map  $f: P^1 \rightarrow Z = P^1 \subset X$  has contribution by  $1/d^3$ . Hence the quantum 3-point function (virtual int. number via  $f$ ) is given by

$$\langle a, b, c \rangle^X = \sum_{d \in \mathbb{N}} (a.Z)(b.Z)(c.Z)q^d = \Delta q / (1 - q).$$

Here  $q = \exp(-2\pi(\omega.Z))$  depends on the Kähler variable  $\omega$ . Since  $T(Z) = -Z'$ , we have  $Tq = 1/q'$ . Hence

$$\langle Ta, Tb, Tc \rangle^{X'} - T\langle a, b, c \rangle^X = \Delta(q' / (1 - q') + Tq / (1 - Tq)) = -\Delta.$$

Note: The convergent radii have no intersection!

(4) Degeneration of GW theory into local models. (OK by Li-Ruan.)

We (LLW) generalize this “**analytic continuation**” of QH to ordinary  $P^r$ -flops in all dimensions: Namely

$$Z = P^r, \quad N_{Z/X} = \mathcal{O}(-1)^{r+1}, \quad Y = \text{Bl}_Z(X),$$

as well as the family case\* when  $Z = P_S(\mathbb{F}) \rightarrow S$  is a  $P^r$  bundle.

- [1] LLW; **Flops, motives and inv of quantum rings**, Annals.
- [2] FW; Motivic and quantum inv under stratified Mukai flops, JDG.
- [3] ILLW; Inv of GW theory under simple flops (all genera).
- [4] LLW; Quantum inv under flop transitions (CY flops), Yau's 59<sup>th</sup>.
- [5]\* LLW; **Inv of quantum rings under ordinary flops**.

NEW TOOLS: Reconstruction, quantization and re-normalization.

B model: The  $g = 0$  theory  $\equiv$  VHS.

(1) For  $f: X \rightarrow S$  a smooth family,  $R^k f_* \mathbb{C} \rightarrow S$  is a VHS of weight  $k$  with Gauss-Manin connection  $\nabla^{\text{GM}}$ , Hodge filtration  $\{\mathbb{F}^p\}$  and flat (integral) structure  $R^k f_* \mathbb{Z}$ . **Griffiths trans:  $\nabla \mathbb{F}^p \subset \Omega_S(\mathbb{F}^{p-1})$ .**

(2) For family of CY  $k$ -folds,  $\text{rk } \mathbb{F}^k = 1$  with local frame  $\Omega$ . The **periods integral  $\int_{\Gamma} \Omega$**  satisfies a Picard-Fuchs equation.

A model: The  $g = 0$  theory  $\equiv$  (QH,  $*$ ).

(1) Let  $H = H_A = H^*(X)$ . The tangent bundle  $TH = H \times H$  has a Dubrovin connection  $\nabla_a := D_a - z^{-1} a *_t$  ( $t \in H$ ).

**WDVV Equation:  $\nabla$  is flat  $\Leftrightarrow *$  is associative.**

(2) If  $X$  is toric, then "**QH**" also satisfies a Picard-Fuchs Eq.

**(1) Mirror Symmetry:** Up to SUSY, the eigen-spaces of super charges are exchanged. This predicts that for a CY 3-fold  $X$ , there exists another CY 3-fold  $X'$  s.t.  $h^{1,1}(X) = h^{2,1}(X')$  and  $h^{2,1}(X) = h^{1,1}(X')$ .

**Conjecture (Candelas 1990, BCOV 1993):**  $A(X) = B(X')$  and  $B(X) = A(X')$  in the large complex/Kähler structure limits.

For quintic CY:  $X = (5) \subset P^4$ , the  $P^1$  counting problem on  $X$  can be solved by the Picard-Fuchs ODE on  $X'$ . (Givental, LLY 1997.) This can be generalized to toric complete intersections. For examples, the dim  $k - 2$  Calabi-Yau hyper-surfaces:  $CY_k = (k) \subset P^{k-1}$ .



(2) Birational Calabi-Yau's:  $A(X) = A(X')$  and  $B(X) = B(X')$ .

(3) Extremal Transitions: Let  $f: X \rightarrow X_0$  be a crepant contraction and  $X_t$  ( $t \in S$ ) be a smoothing of  $X_0$ . Denote a general  $X_t$  by  $X'$ . The process  $X$  to  $X'$  is also a  $K$ -equiv (up to deformation). This is well defined without the Calabi-Yau assumption.

(3-1)  $X$  has more  $H^{1,1} = H^1(\Omega^1)$  than  $X'$ , since  $X$  contains the extremal ray  $L$  under  $f$ . Thus  $A(X) > A(X')$ .

(3-2)  $X'$  has more  $H^{2,1} = H^1(T)$  than  $X$ , since  $X'$  contains the vanishing cycle  $\Gamma$  of the degeneration. Thus  $B(X) < B(X')$ .

CONJECTURE:  $A(X) + B(X) = A(Y) + B(Y)$ .

**LOCAL EXAMPLES:** Consider the dim  $k$  hyper-surface  $X_0 \subset \mathbb{C}^{k+1}$ :

$$x_0^k + x_1^k + \cdots + x_k^k = 0$$

with  $p = 0 \in X_0$  being an ordinary  $k$ -fold singularity. The blow-up  $f: X = \text{Bl}_p(X_0) \rightarrow X_0$  is crepant with exceptional divisor

$$E = (k) \subset \mathbb{P}^k, \quad N_{E/X} = \mathcal{O}(-1)|_E.$$

The local structure of  $E \subset X$ , namely the germ  $(E, X)$  is equivalent to  $\mathbb{P}^k$  “cut out” by the rank 2 vector bundle:

$$V_k = \mathcal{O}(k) \oplus \mathcal{O}(-1) \rightarrow \mathbb{P}^k.$$

$X_0$  can be smoothed into a flat family  $M \rightarrow \Delta$  with general smooth fiber  $X' = M_t$ . The semi-stable reduction  $\pi: W \rightarrow \Delta$  is used to compare  $X$  and  $X'$  since  $W_t = X'$  and  $W_0 = X \cup E'$  for some Fano  $E'$ .

### Quantum Transition from A to B:

The Gromov-Witten extremal function  $f(\mathbf{a}) = \sum_{d \in \mathbb{N}} \langle \mathbf{a} \rangle_{dL} q^{dL}$  attached to the extremal ray  $L \in \text{NE}(X)$  can be calculated, using the quantum Serre duality principle, by the bundle

$$V_k^+ = \mathcal{O}(k) \oplus \mathcal{O}(1) \rightarrow P^k.$$

This is in turn reduced to  $\mathcal{O}(k) \rightarrow P^{k-1}$ , the Calabi-Yau  $CY_k$ !

**Where is the Picard-Fuchs operator  $P_k$  for  $f(\mathbf{a})$ ?**

Since  $\dim CY_k = k - 2$ , we must have  $\deg P = k - 2$ . But  $\dim X' = k$ . It must be the case that there is a "sub-VHS of  $R^k \pi_* \mathbb{C}$  of weight  $k - 2$ " which starts at  $\Omega \in H^{n-1,1} = H^1(X', \mathbb{T})$ . Let  $\Gamma$  be the vanishing cycle along  $\pi$ , then  $P_k$  is the Picard-Fuchs op for  $\int_{\Gamma} \Omega$ .

BACK to the QMMP:

1. Allowing **deformations**, it seems that the MMP (at least for dim 3) can be performed purely in **orbifolds** with only **divisorial contractions** ( $K \searrow$ ) and **flops** ( $K \text{ equiv}$ ) (Chen-Hacon).
2. GW theory has been extended to orbifolds (Chen-Ruan, CCTY).
3. The  $A + B$  invariance of orbifold flops is as expected.
4. For divisorial contraction  $f: Y \rightarrow X$ , the **key claim** is that it is precisely the  $A + B$  model which satisfies the change of variable formula (functoriality, W- 2000).
5. The  $A + B$  “should be” an **extension of flat bundles**. THANKS.